

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

SHIGERU NAKAGAWA et al.

Application No.: 10/713,777

Filed: November 13, 2003

For: OPTICAL MODULE AND  
OPTICAL COMMUNICATION  
SYSTEM

Group Director: 3663

Customer No.: 20350

Confirmation No.: 8760

Examiner: ERIC L BOLDA

Art Unit: 3663

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Commissioner:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Reference No. 5 cited in this IDS is an Office Action and English Translation for corresponding Japanese Application No. 2003-207575, mailed on March 2, 2010. The Non-Patent reference cited by the Japanese Examiner in the Office Action, *Publication 2: Electronics Letters Vol. 29 No. 1 (1993)*, is already of record in this application.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed on or before payment of the issue fee.

CERTIFICATION

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

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